**MUTUAL FUNDS** 



Aditya Birla Sun Life Mutual Fund

# COMMON TRANSACTION FORM (for One Transaction Only)

(PLEASE READ THE INSTRUCTIONS BEFORE FILLING UP THE FORM.)

<b>ARN-3086</b>	Sub Broker Name / ARN No. /RIA No.	Sub Broker Code	Employee Unique ID. No. (EUIN)	service rendered by the distributor.	
person of the above distributor or notwithst be signed by all applicants, if mode of holding	anding the advice of in-appropriateness, if	at the EUIN box has been intentionally left blan any, provided by the employee/relationship ma	k by me/us as this is an "execution-only" tran anager/sales person of the distributor and the	nsaction without any interaction or advice by the employee/relationship manager/sal distributor has not charged any advisory fees on this transaction. (refer inst no. A-4) [	
First Unitholder / Authorised Signatory		Second Unitholder		Third Unitholder	
FOLIO NUMBER (Mandatory) :				Date: D D M M Y Y	
1st Unitholder Name		2nd Unitholder Name		3rd Unitholder Name	
PAN / PEKRN (Mandatory)		PAN / PEKRN (Mandatory)		PAN / PEKRN (Mandatory)	
CKYC Number (Prefix if any)	dig t CHYC Number	CKYC Number Prefix if any	digit CHYC Number	CKYC Number Prefix if any	
ADDITIONAL PURCHASE (Cheque / DD	payment favouring "Scheme Name") Refer Instruction	n Section B			
Scheme: ABSL	P	lan : 0;	otion:	Sweep to (applicable only for Dividend Option)	
Payment Mode: OTM (One Time Mandate)	Cheque/DD NEFT/RTGS F	und Transfer Dthers Please Specify	payable to the above scheme drawn o	n (Bank Name & Branch Address) Mandatory for OTM	
Amount (₹):	DD Charges	Dated:	t (₹):		
SWITCH					
I/We would like to switch ₹	or _		units		
FROM SCHEME / PLAN ABSL		TO SCHEME / PLAN ABSL		Sweep to (applicable only for Dividend Option)	
ALLOT UNITS IN DEMAT MODE (OPTI	DNAL) (Please ensure that the sequence of na	mes in the folio matches with that of the A/c. held with th	e depository participant)		
NSDL: Depository Participant Name:		DP ID No.: I N	Beneficiary A/c No.	Enclosed: Client Master	
CDSL: Depository Participant Name:		Beneficiary A/c No.		Transaction/ Statement Copy/ DIS Copy	
DECLARATION(S) & SIGNATURE(S)					
through legitimate sources only and does not involve from time to time. I/We have understood the details different competing Schemes of various Mutual Fund any regulatory action, damage or liability that they m	ement of Additional Information / Scheme Informat and is not designed for the purpose of the contrave of the scheme & I/we have not received nor have b s from amongst which the Scheme is being recomm	ntion of any Act, Rules, Regulations, Notifications on Dire een induced by any rebate or gifts, directly or indirectly ended to me/us./ I We acknowledge that the RIA has en therewith or arising from sharing, disclosing and transfer	ctions of the provisions of the Income Tax Act, Anti Mon n making this investment. The ARN holder has disclose ered into an agreement with the AMC / MF for accepting	ules and regulations governing the scheme. I/We hereby declare that the amount invested in the scheme ev Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the government of Inc ed to me/us all the commissions (in the form of trail ommission or any other mode), payable to him for ti transaction feeds under the code. I / We hereby indemnify, defend and hold harmless the AMC / MF again tails provided by me/us are true and correct.	
Acknowledgement Sl	<b>ip</b> (To be filled in by the Inves	tor)		COMMON TRANSACTION FORM	
Folio No.:		C is L. Dates		Collection Centre /	
Scheme: ABSL	Purchase	Switch Date: Amount (	 ₹)	_ or Units	
From Scheme (in case of switch)		To Scheme			
(Formerly known as Birla Sun L Regn. No.: 109. Regd Office: On	Limited (Investment Manager to A ife Asset Management Company Li e Indiabulls Centre, Tower 1, 17th Flo nstone Road, Mumbai - 400013	mited)		Contact Us: 1800-270-7000	

012 0456 7000 | care.mutualfunds@adityabirlacapital.com | www.adityabirlasunlifemf.com | CIN: U65991MH1994PLC080811 02.07.2019

adityabirlacapital.com

ADITYA BIRLA CAPITAL

# MUTUAL FUNDS

Aditya Birla Sun Life Mutual Fund

## COMMON TRANSACTION FORM (for One Transaction Only)

(PLEASE READ THE INSTRUCTIONS BEFORE FILLING UP THE FORM.)

FOLIO NUMBER (Mandatory) :		Date : D D M M Y Y					
1st Unitholder Name	2nd Unitholder Name	3rd Unitholder Name					
Scheme: ABSL Pla	n :Option:						
Please redeem (₹):	units.						
DECLARATION(S) & SIGNATURE(S)							

To,

### The Trustee, Aditya Birla Sun Life Mutual Fund

Having read and understood the contents of the Statement of Additional Information / Scheme Information Document of the Scheme, I/We hereby agree to abide by the terms, conditions, rules and regulations governing the scheme. I/We hereby declare that the amount invested in the scheme is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications of Directions of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Grruption Laws or any other applicable laws enacted by the government of India from time to time. I/We have understood the details of the scheme & I/we have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We confirm that details provided by me/us are true and correct.

### A. Common Instructions:

- 1. Please read the Scheme related documents, Addenda, KIM carefully before submitting the form.
- 2. KYC: According to guidelines issued by SEBI under The Prevention of Money Laundering Act, 2002, it is made compulsory for all unitholders to be KRA-KYC compliant while making an application for subscription of units. For more information on KRA-KYC, please log on to www.birlasunlife.com before investing. Applications are liable to be rejected without any intimation to the applicants, if required KRA-KYC compliance is not complied by all the unit holders.
- 3. If the broker details are changed / re-written, please countersign near the broker box for confirmation.
- 4. Employee Unique Identification Number (EUIN): For applications routed through distributors, in addition to the ARN of the distributor, Unitholders are requested to also provide the EUIN of the individual ARN holder or of employee/relationship manager/sale person of the Distributor interacting with the investor. Providing appropriate EUIN would assist in tackling the problem of mis-selling even if the Sales personnel on whose advice the transaction was executed by investor leaves the employment of the distributor or his/her sub broker. If the distributor has not given any advice pertaining to the investor. Providing appropriate EUIN would assist in tackling the problem of mis-selling even if the Sales personnel on whose advice the transaction was executed by investor leaves the employment of the distributor or his/her sub broker. If the distributor has not given any advice pertaining to the investore to arovide signed confirmation as mentioned in the form. EUIN is not required to be provided for redemption transactions.
- 5. In case ARN No/ Broker Code is mentioned in the form, but 'Direct Plan' is indicated, the ARN No/ Broker Code will be ignored and the application will be processed under Direct Plan under respective scheme, subject to it being complete in all other aspects. Further, where application is received for 'Regular Plan' without Distributor code or 'Direct' mentioned in the ARN Column, the application will be processed under 'Direct Plan' of the scheme.

#### B. Specific Instructions For Additional Purchases:

- 1. To make an additional purchase, the first unit holder should be one of the bank account holders in the pay-in bank account i.e. the bank account used for issuing the payments to the Mutual Fund. Unitholder should attach necessary supporting documents as required by the Mutual Fund, if this is not evidenced on the payment cheque/funds transfer/RTGS/NEFF/OTM request, or demand drafts.
- 2. Additional Purchase request should necessarily mention the pay-in bank account details i.e. account number and bank, branch name used for issuing the payments to the Mutual Fund.
- 3. Payment through Stock invest, outstation cheques, and third party payments will not be accepted. D.D. charges, if any, would be borne by the AMC only for the investors residing at places which are not covered by our offices / authorised centres. The maximum charges so borne by the AMC would be restricted to limits as prescribed by State Bank of India.
- C. Specific Instructions For Redemption/Switch: Redemption may not be processed if folio number and full scheme name including plan and option is not mentioned. Redemptions/Switches will be processed only if amount/ units, is clearly mentioned. If there is no sufficient amount/ units, the balance available free units in the respective account/folio will be redeemed/ shifted/switched. Proceeds of any redemption request will be sent only to a bank account that is already registered in the folio at the time of redemption transaction processing.

0 ·		-0	
Acknowledgement Slip (To be filled in by the Investor)	СОММОН Т	COMMON TRANSACTION FORM	
Folio No.: ☐ Redemption Date: Scheme:Amount (₹)		lection Centre / C Stamp & Signature	
Aditya Birla Sun Life AMC Limited (Investment Manager to Aditya Birla Sun Life Mutual Fund) (Formerly known as Birla Sun Life Asset Management Company Limited) Regn. No: 109. Regd Office: One Indiabulls Centre, Tower 1, 17th Floor, Jupiter Mill Compound, 841, Senapati Bapat Marg, Elphinstone Road, Mumbai - 400013 +91 22 4356 7000   care.mutualfunds@adityabirlacapital.com   www.adityabirlasunlifemf.com   CIN: U65991MH	Contact Us: 1800-270-7000 <sup>1994PLC080811</sup> adityabirlacapital.com	ADITYA BIRLA CAPITAL	